

A Dynamic Programming Language for the JVM

Rich Hickey

Agenda

- Fundamentals
- Rationale
- Feature Tour
- Experiences on the JVM
- Q&A



Clojure Fundamentals

- Dynamic
 - a new Lisp, not Common Lisp or Scheme
- Functional
 - emphasis on immutability
- Supporting Concurrency
- Hosted on the JVM
 - Compiles to JVM bytecode
- Not Object-oriented



Why the JVM?

- VMs, not OSes, are the target platforms of future languages, providing:
 - Type system
 - Dynamic enforcement and safety
 - Libraries
 - Huge set of facilities
 - Memory and other resource management
 - GC is platform, not language, facility
 - Bytecode + JIT compilation



Language as platform vs. Language + platform

- Old way each language defines its own runtime
 - GC, bytecode, type system, libraries etc
- New way (JVM, .Net)
 - Common runtime independent of language
- Platforms are dictated by clients
 - Huge investments in performance, scalability, security, libraries etc.



Java/JVM is language + platform

- Not the original story, but other languages for JVM always existed, now embraced by Sun
- JVM has established track record and trust level
 - Now open source
- Interop with other code always required
 - C linkage insufficient these days
 - Ability to call/consume Java is critical
- Clojure is the language, JVM the platform



Why a Lisp?

- Dynamic
- Small core
 - Clojure is a solo effort
- Elegant syntax
- Core advantage still code-as-data and syntactic abstraction
- Saw opportunities to reduce parensoverload



Why Functional?

- Easier to reason about
- Easier to test
- Essential for concurrency
- Few dynamic functional languages
 - Most focus on static type systems
- Functional by convention is not good enough



Why Focus on Concurrency?

- Multi-core is here to stay
- Multithreading a real challenge in Java et al
 - Locking is too hard to get right
- FP/Immutability helps
 - Share freely between threads
- But 'changing' state a reality for simulations and working models
- Automatic/enforced language support needed

Why not OO?

- Encourages mutable State
 - Mutable stateful objects are the new spaghetti code
 - Encapsulation != concurrency semantics
- Common Lisp's generic functions proved utility of methods outside of classes
- Polymorphism shouldn't be based (only) on types
- Many more...

Feature Tour

- Data types and data abstractions
- Syntax
- Persistent Data Structures
 - Functional Programming
- Abstraction-based library
- Concurrent Programming
 - Transactions and Agents
- JVM/Java Integration



Atomic Data Types

- Arbitrary precision integers 12345678987654
- Doubles 1.234, BigDecimals 1.234M
- Ratios 22/7
- Strings "fred", Characters \a \b \c
- Symbols fred ethel, Keywords :fred :ethel
- Booleans true false , Null nil
- Regex patterns #"a*b"



Data Structures

- Lists singly linked, grow at front
 - (1 2 3 4 5), (fred ethel lucy), (list 1 2 3)
- Vectors indexed access, grow at end
 - [1 2 3 4 5], [fred ethel lucy]
- Maps key/value associations
 - {:a 1, :b 2, :c 3}, {1 "ethel" 2 "fred"}
- Sets #{fred ethel lucy}
- Everything Nests



Syntax

- You've just seen it
- Data structures are the code
- Not text-based syntax
 - Syntax is in the interpretation of data structures
- Things that would be declarations, control structures, function calls, operators, are all just lists with op at front
- Everything is an expression



Syntax Comparison

Java	Clojure
int i = 5;	(def i 5)
<pre>if(x == 0) return y; else return z;</pre>	(if (zero? x) y z)
x* y * z;	(* x y z)
foo(x, y, z);	(foo x y z)
file.close();	(.close file)



```
# Norvig's Spelling Corrector in Python
# http://norvig.com/spell-correct.html
def words(text): return re.findall('[a-z]+', text.lower())
def train(features):
    model = collections.defaultdict(lambda: 1)
    for f in features:
        model[f] += 1
    return model
NWORDS = train(words(file('big.txt').read()))
alphabet = 'abcdefghijklmnopqrstuvwxyz'
def edits1(word):
    n = len(word)
    return set([word[0:i]+word[i+1:] for i in range(n)] +
                \lceil word \lceil 0:i \rceil + word \lceil i+1 \rceil + word \lceil i \rceil + word \lceil i+2: \rceil for i in range(n-1) \rangle +
                [word[0:i]+c+word[i+1:] for i in range(n) for c in alphabet] +
                [word[0:i]+c+word[i:] for i in range(n+1) for c in alphabet])
def known_edits2(word):
    return set(e2 for e1 in edits1(word) for e2 in edits1(e1) if e2 in NWORDS)
def known(words): return set(w for w in words if w in NWORDS)
def correct(word):
    candidates = known([word]) or known(edits1(word)) or known_edits2(word) or [word]
    return max(candidates, key=lambda w: NWORDS[w])
```

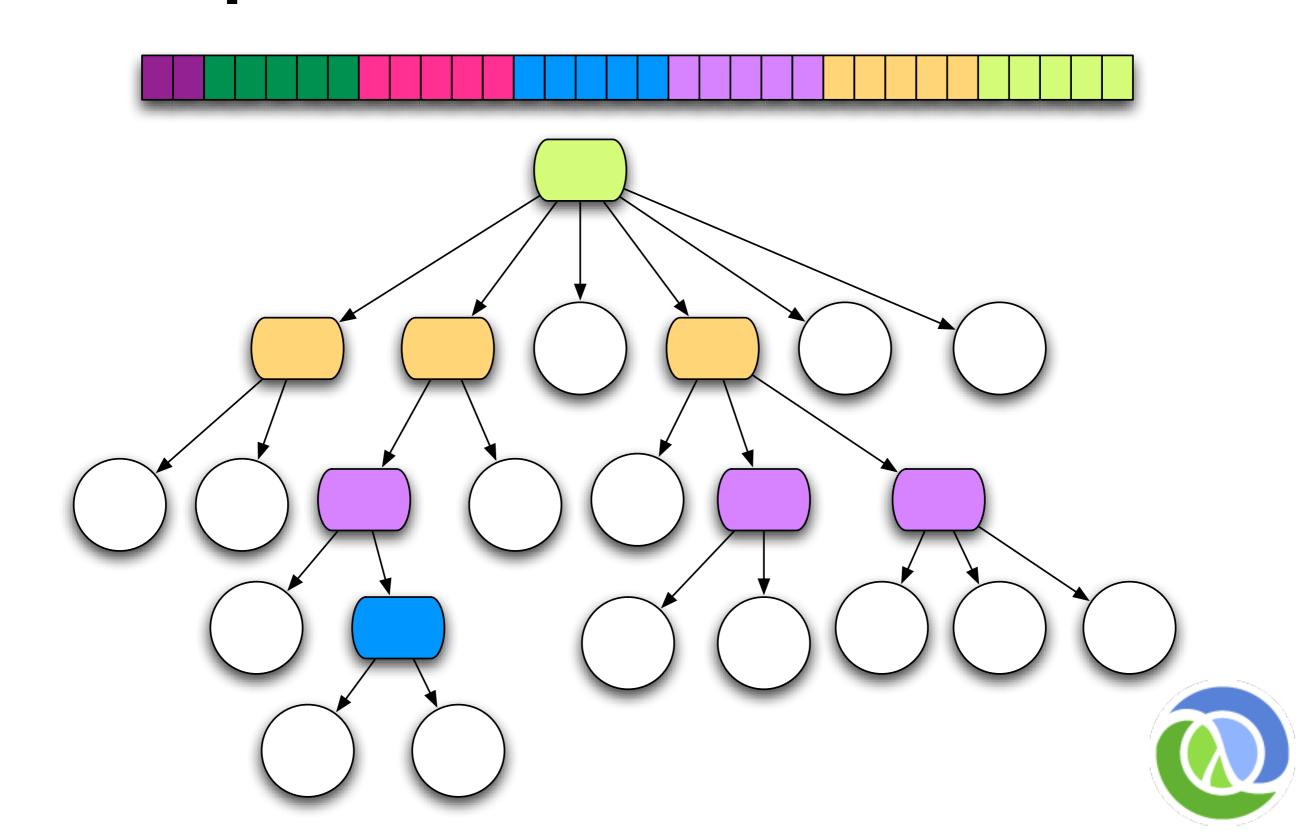
```
; Norvig's Spelling Corrector in Clojure
; http://en.wikibooks.org/wiki/Clojure_Programming#Examples
(defn words [text] (re-seq #"[a-z]+" (.toLowerCase text)))
(defn train [features]
  (reduce (fn [model f] (assoc model f (inc (get model f 1))))
          {} features))
(def *nwords* (train (words (slurp "big.txt"))))
(defn edits1 [word]
  (let [alphabet "abcdefghijklmnopqrstuvwxyz", n (count word)]
    (distinct (concat
      (for [i (range n)] (str (subs word 0 i) (subs word (inc i))))
      (for [i (range (dec n))]
        (str (subs word 0 i) (nth word (inc i)) (nth word i) (subs word (+ 2 i))))
      (for [i (range n) c alphabet] (str (subs word 0 i) c (subs word (inc i))))
      (for [i (range (inc n)) c alphabet] (str (subs word 0 i) c (subs word i))))))
(defn known [words nwords] (for [w words :when (nwords w)] w))
(defn known-edits2 [word nwords]
  (for [e1 (edits1 word) e2 (edits1 e1) :when (nwords e2)] e2))
(defn correct [word nwords]
  (let [candidates (or (known [word] nwords) (known (edits1 word) nwords)
                       (known-edits2 word nwords) [word])]
    (apply max-key #(get nwords % 1) candidates)))
```

Persistent Data Structures

- Immutable, + old version of the collection is still available after 'changes'
- Collection maintains its performance guarantees
 - Therefore new versions are not full copies
- Structural sharing thread safe, iteration safe
- All Clojure data structures are persistent
 - Hash map/set and vector based upon array mapped hash tries (Bagwell)
 - Practical much faster than O(logN)



Bit-partitioned hash tries



Abstraction-based Library

- Sequences, replace traditional Lisp lists
 - Seqs on all Clojure collections, all Java collections, Strings, regex matches, files...
 - Can be lazy like generators
- All Collections
- Functions (call-ability)
 - Maps/vectors/sets are functions
- Many implementations
 - Extensible from Java and Clojure



Sequences

- Abstraction of traditional Lisp lists
- (seq coll)
 - if collection is non-empty, return seq object on it, else nil
- (first seq)
 - returns the first element
- (rest seq)
 - returns a seq of the rest of the elements,
 or nil if no more



Sequences

```
(drop 2 [1 2 3 4 5]) \rightarrow (3 4 5)
(take 9 (cycle [1 2 3 4]))
-> (1 2 3 4 1 2 3 4 1)
(interleave [:a :b :c :d :e] [1 2 3 4 5])
-> (:a \ 1 :b \ 2 :c \ 3 :d \ 4 :e \ 5)
(partition 3 [1 2 3 4 5 6 7 8 9])
-> ((1 2 3) (4 5 6) (7 8 9))
(map vector [:a :b :c :d :e] [1 2 3 4 5])
-> ([:a 1] [:b 2] [:c 3] [:d 4] [:e 5])
(apply str (interpose \, "asdf"))
-> "a,s,d,f"
(reduce + (range 100)) -> 4950
```

Maps and Sets

```
(def m {:a 1 :b 2 :c 3})
(m : b) -> 2 ; also (:b m)
(keys m) -> (:a :b :c)
(assoc m :d 4 :c 42) \rightarrow {:d 4, :a 1, :b 2, :c 42}
(merge-with + m {:a 2 :b 3}) -> {:a 3, :b 5, :c 3}
(union #{:a :b :c} #{:c :d :e}) -> #{:d :a :b :c :e}
(join #{{:a 1 :b 2 :c 3} {:a 1 :b 21 :c 42}}
      \#\{\{:a\ 1\ :b\ 2\ :e\ 5\}\ \{:a\ 1\ :b\ 21\ :d\ 4\}\}\}
-> #{{:d 4, :a 1, :b 21, :c 42}
     {:a 1, :b 2, :c 3, :e 5}}
```

Concurrency

- Interleaved/simultaneous execution
- Must avoid seeing/yielding inconsistent data
- The more components there are to the data,
 the more difficult to keep consistent
- The more steps in a logical change, the more difficult to keep consistent
- Clojure also supports parallel computation
 - Emphasis here on coordination

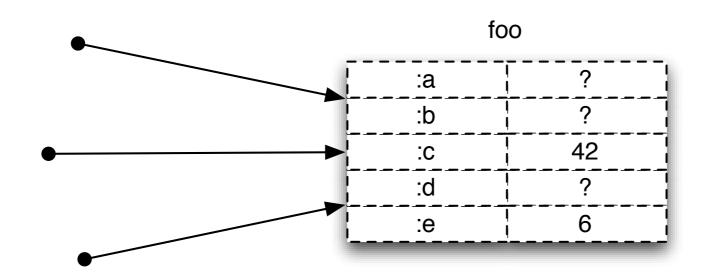


Concurrency Methods

- Conventional way:
 - Direct references to mutable objects
 - Lock and worry (manual/convention)
- Clojure way:
 - Indirect references to immutable persistent data structures (inspired by SML's ref)
 - Concurrency semantics for references
 - Automatic/enforced
 - No locks in user code!



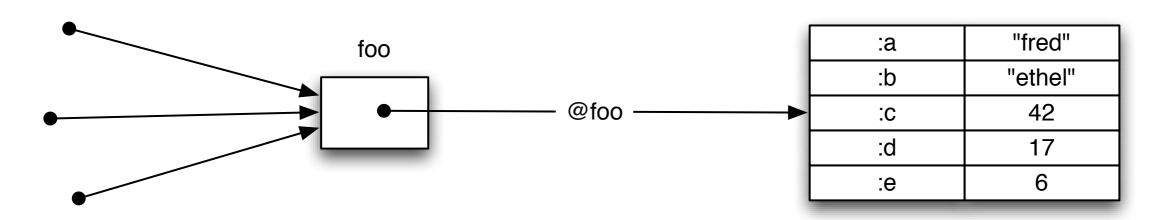
Typical OO - Direct references to Mutable Objects



- Unifies identity and value
- Anything can change at any time
- Consistency is a user problem
- Encapsulation doesn't solve concurrency problems



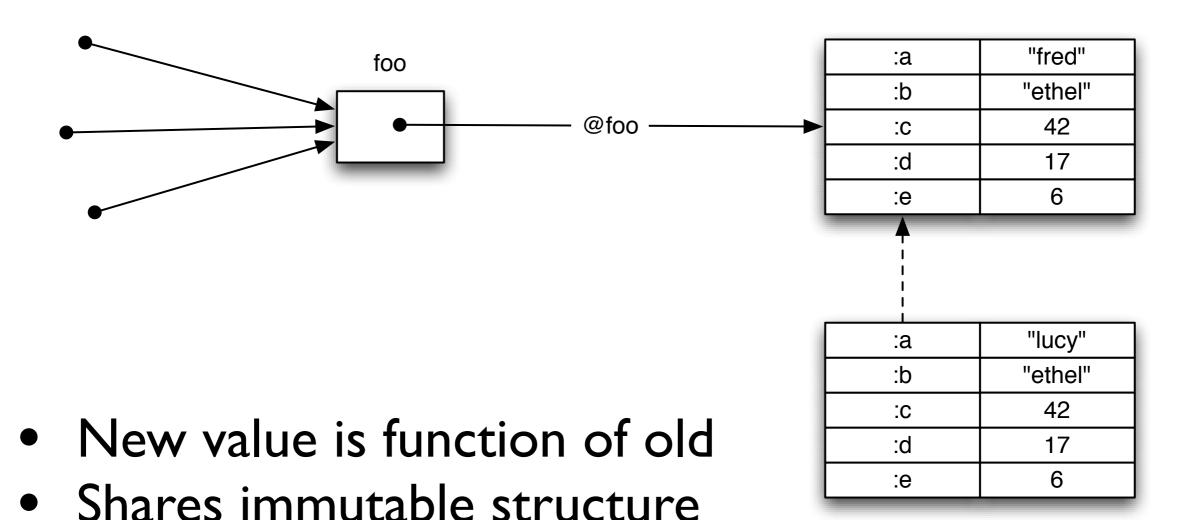
Clojure - Indirect references to Immutable Objects



- Separates identity and value
 - Obtaining value requires explicit dereference
- Values can never change
 - Never an inconsistent value
- Encapsulation is orthogonal



Persistent 'Edit'

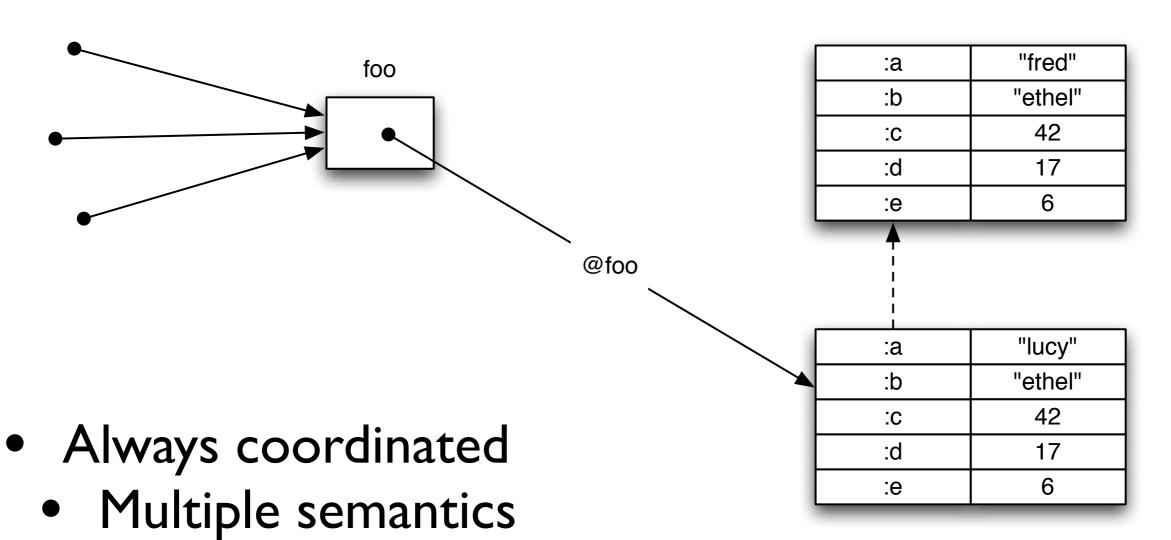


Doesn't impede readers

Not impeded by readers



Atomic Update



- Next dereference sees new value
- Consumers of values unaffected



Clojure References

- The only things that mutate are references themselves, in a controlled way
- 3 types of mutable references, with different semantics:
 - Refs Share synchronous coordinated changes between threads
 - Agents Share asynchronous autonomous changes between threads
 - Vars Isolate changes within threads



Refs and Transactions

- Software transactional memory system (STM)
- Refs can only be changed within a transaction
- All changes are Atomic and Isolated
 - Every change to Refs made within a transaction occurs or none do
 - No transaction sees the effects of any other transaction while it is running
- Transactions are speculative
 - Will be retried automatically if conflict
 - Must avoid side-effects!



The Clojure STM

- Surround code with (dosync ...)
- Uses Multiversion Concurrency Control (MVCC)
- All reads of Refs will see a consistent snapshot of the 'Ref world' as of the starting point of the transaction, + any changes it has made.
- All changes made to Refs during a transaction will appear to occur at a single point in the timeline.
- Readers never impede writers/readers, writers never impede readers, supports commute



Refs in action

```
(def foo (ref {:a "fred" :b "ethel" :c 42 :d 17 :e 6}))
@foo -> {:d 17, :a "fred", :b "ethel", :c 42, :e 6}
(assoc @foo :a "lucy")
-> {:d 17, :a "lucy", :b "ethel", :c 42, :e 6}
@foo -> {:d 17, :a "fred", :b "ethel", :c 42, :e 6}
(commute foo assoc :a "lucy")
-> IllegalStateException: No transaction running
(dosync (commute foo assoc :a "lucy"))
@foo -> {:d 17, :a "lucy", :b "ethel", :c 42, :e 6}
```



Agents

- Manage independent state
- State changes through actions, which are ordinary functions (state=>new-state)
- Actions are dispatched using send or sendoff, which return immediately
- Actions occur asynchronously on threadpool threads
- Only one action per agent happens at a time



Agents

- Agent state always accessible, via deref/@,
 but may not reflect all actions
- Can coordinate with actions using await
- Any dispatches made during an action are held until after the state of the agent has changed
- Agents coordinate with transactions any dispatches made during a transaction are held until it commits
- Agents are not Actors (Erlang/Scala)



Agents in Action

```
(def foo (agent {:a "fred" :b "ethel" :c 42 :d 17 :e 6}))
@foo -> {:d 17, :a "fred", :b "ethel", :c 42, :e 6}

(send foo assoc :a "lucy")
@foo -> {:d 17, :a "fred", :b "ethel", :c 42, :e 6}

(await foo)
@foo -> {:d 17, :a "lucy", :b "ethel", :c 42, :e 6}
```



Java Integration

- Clojure strings are Java Strings, numbers are Numbers, collections implement Collection, fns implement Callable and Runnable etc.
- Core abstractions, like seq, are Java interfaces
- Clojure seq library works on Java Iterables,
 Strings and arrays.
- Implement and extend Java interfaces and classes
- New primitive arithmetic support equals Java's speed.



Java Interop

```
Math/PI
3.141592653589793
(.. System getProperties (get "java.version"))
"1.5.0 13"
(new java.util.Date)
Thu Jun 05 12:37:32 EDT 2008
(doto (JFrame.) (add (JLabel. "Hello World")) pack show)
;expands to:
(let [x (JFrame.)]
   (do (. x (add (JLabel. "Hello World")))
       (. x pack)
       (. x show))
   X)
```

Swing Example

```
(import '(javax.swing JFrame JLabel JTextField JButton)
        '(java.awt.event ActionListener) '(java.awt GridLayout))
(defn celsius □
  (let [frame (JFrame. "Celsius Converter")
        temp-text (JTextField.)
        celsius-label (JLabel. "Celsius")
        convert-button (JButton. "Convert")
        fahrenheit-label (JLabel. "Fahrenheit")]
    (.addActionListener convert-button
       (proxy [ActionListener] []
         (actionPerformed [evt]
            (let [c (. Double parseDouble (.getText temp-text))]
              (.setText fahrenheit-label
                 (str (+ 32 (* 1.8 c)) " Fahrenheit"))))))
    (doto frame
      (setLayout (GridLayout. 2 2 3 3))
      (add temp-text) (add celsius-label)
      (add convert-button) (add fahrenheit-label)
      (setSize 300 80) (setVisible true))))
```

(celsius)



Experiences on the JVM

- Main complaint is no tail call optimization
- HotSpot covers the last mile of compilation
 - Runtime optimizing compilation
 - Clojure can get ~I gFlop without even generating JVM arithmetic primitives
- Ephemeral garbage is extremely cheap
- Great performance, many facilities
 - Verifier, security, dynamic code loading



Benefits of the JVM

- Focus on my language vs code generation or mundane libraries
- Sharing GC and type system with implementation/FFI language is huge benefit
- Tools e.g. breakpoint/step debugging etc.
- Libraries! Users can do Ul, database, web, XML, graphics, etc right away
- Great MT infrastructure java.util.concurrent
 - well-defined memory model



There's much more!

- Metadata
- Recursive functional looping
- Destructuring binding in let/fn/loop
- List comprehensions (for)
- Relational set algebra
- Multimethods
- Parallel computation
- Namespaces, zippers, XML ...



Why Clojure?

- Expressive, elegant
- Approachable functional programming
- Robust, easy-to-use concurrency
- Powerful extensibility
- Good performance
- Leverage an established, accepted platform
- Good documentation
- Growing community



Thanks for listening!



http://clojure.org

Questions?